

RECEIPT

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box →

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after mailing)

DEC 11 2000

Total Number of Pages in This Submission

ATTORNEY DOCKET NUMBER

Application Number

09/675,155

Filing Date

September 29, 2000

First Named Inventor

Murphy et al.

Group Art Unit

3713

Examiner Name

TBA

Attorney Docket Number

19046.0001

ENCLOSURES (check all that apply)

 Fee Transmittal Form Statement Claiming Small Entity Status After Allowance Communication to Group Fee Attached Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Response Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) After Final Petition Routing Slip (PTO/SB/69) and Accompanying Petition Proprietary Information Affidavits/declaration(s) Petition to Convert to a Provisional Application Status Letter Extension of Time Request Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please identify below):
Request for Corrected Filing Receipt and marked-up copy of Filing Receipt Express Abandonment Request Terminal Disclaimer Information Disclosure Statement Request for Refund Certified Copy of Priority Document(s) CD, Number of CD(s)

Remarks

 Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

MAR - 1 2001

TECHNOLOGY CENTER R3700

Firm or Individual name

Chadwick A. Jackson, Registration No. 46,495
Swidler Berlin Shereff Friedman, LLP

Signature

Date

December 11, 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

FILE COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov



Bib Data Sheet

SERIAL NUMBER 09/675,155	FILING DATE 09/29/2000 RULE	CLASS 434	GROUP ART UNIT 3713	ATTORNEY DOCKET NO. 19046.0001
-----------------------------	-----------------------------------	--------------	------------------------	--------------------------------------

APPLICANTS

Elizabeth Ann Murphy, Washington, DC ;
 Patrick Joseph Murphy, Washington, DC ;

** CONTINUING DATA *****

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE
 GRANTED ** 12/02/2000

** SMALL ENTITY **

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY DC	SHEETS DRAWING 20	TOTAL CLAIMS 57	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials			

ADDRESS

23517

TITLE

Method and apparatus for internet based management of compliance distribution and training

FILING FEE RECEIVED 678	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-------------------------------	-------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Elizabeth Murhy, et al

Application No. 09/675,151

Filed: September 29, 2000



Group Art Unit 3713

Examiner:

Titled: METHOD AND APPARATUS FOR INTERNET BASED MANAGEMENT OF COMPLIANCE DISTRIBUTION AND TRAINING

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants request that a Corrected Filing Receipt be issued due to an error on the Filing Receipt mailed December 4, 2000. A copy marked in red ink showing the required correction is attached.

The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment to Deposit Account No. 19-5127 referencing Order No. 19046.0001 for entry of this paper.

Respectfully submitted,

Swidler Berlin Shreff Friedman, LLP

By:

Chadwick A. Jackson
Registration No. 46,495

Dated: Dec 11, 2000

SWIDLER BERLIN SHEREFF FRIEDMAN, LLP
3000 K Street, N.W., Suite 300
Washington, D.C. 20007
(202) 424-7500

RECEIVED
MAR - 1 2001
TECHNOLOGY CENTER R3700

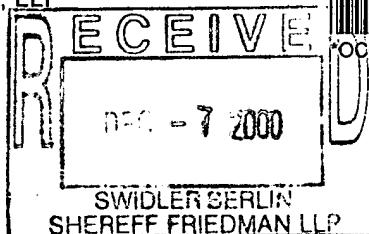
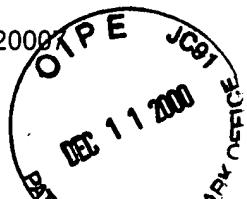


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/675,155 ✓	09/29/2000 ✓	3713	678	19046.0001 ✓	20	57	3

23517
 SWIDLER BERLIN SHEREFF FRIEDMAN, LLP
 3000 K STREET, NW
 BOX, IP
 WASHINGTON, DC 20007



FILING RECEIPT



Date Mailed: 12/04/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Elizabeth Ann Murphy, Washington, DC ;
 Patrick Joseph Murphy, Washington, DC ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/02/2000

** SMALL ENTITY ** ✓

Title

Method and apparatus for internet based management of compliance distribution and training

RECEIVED
 MAR - 1 2001
 TECHNOLOGY CENTER R3700

Preliminary Class

434

Training
 (oval)

Data entry by : NIMMONS, EVELYN

Team : OIPE

Date: 12/04/2000



DOCKETED
 12/04/2000